# SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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	OMB Number:	3235-0287					
	Estimated average but	rden					
Ш	hours per response:						

1. Name and Address of Reporting Person <sup>*</sup> CUNNINGHAM SEAN LAURENCE			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Sotera Health Co</u> [ SHC ]	5. Relationship (Check all appli X Director	cable)	rson(s) to Issuer 10% Owner	
(Last) 300 N. LASA	(First) (Middle) LASALLE STREET SUITE 5600		3. Date of Earliest Transaction (Month/Day/Year) 11/20/2020	Officer below	(give title	Other (specify below)	
(Street) CHICAGO (City)	IL (State)	60654 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							

### 2. Transaction Date 2A. Deemed Execution Date, 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5. Amount of Securities 6. Ownership Form: Direct 7. Nature 1. Title of Security (Instr. 3) 3. Transaction of Indirect if any (Month/Day/Year) (Month/Day/Year) Code (Instr. 5) Beneficially (D) or Indirect Beneficial Ownership (Instr. 4) 8) Owned Following (I) (Instr. 4) Reported (A) or (D) Transaction(s) Code v Amount Price (Instr. 3 and 4) Common Stock, \$0.01 par value per share 11/20/2020 5,869(1) \$0.00 5,869 D A Α ("Common Stock")

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		xpiration Date Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. These securities consist of 5,869 Restricted Stock Units ("RSUs") that were granted on November 20, 2020, pursuant to the terms of an RSU agreement under the Sotera Health Company 2020 Omnibus Incentive Plan. Each RSU represents the Reporting Person's right to receive one share of Common Stock, subject to vesting conditions. The RSUs will vest in full on the date immediately prior to the Issuer's next regular annual shareholders meeting, subject to the Reporting Person's continued service as a non-employee director of the Issuer through such date.

### Remarks:

The Power of Attorney for Mr. Cunningham is filed as an exhibit to the Form 3 filed by Mr. Cunningham with the Securities and Exchange Commission on November 20, 2020, which is hereby incorporated by reference.

/s/ Jessica L.M.H. Epp, as	
attorney in fact for Sean	<u>11/24/2020</u>
Laurence Cunningham	

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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